

# MSPO CERTIFICATION

## EXECUTIVE / PUBLIC SUMMARY REPORT

### Southwind Plantation Sdn Bhd (Palm Oil Mill)

|                               |  |                    |                 |                    |
|-------------------------------|--|--------------------|-----------------|--------------------|
| <b>BR No</b>                  | 50451110   |                    |                 |                    |
| <b>Site Address</b>           | KM 77, Jalan Bintulu-Miri,<br>Lot 2, Block 25, Suai Land District, 98000 Miri, Sarawak |                    |                 |                    |
| <b>Audit Date</b>             | <b>Start Date</b>  | 10 September, 2024 | <b>End Date</b> | 12 September, 2024 |
| <b>Audit Method</b>           | Onsite Audit   |                    |                 |                    |
| <b>Scope of Certification</b> | Individual Certification   |                    |                 |                    |
| <b>Audit Type</b>             | Surveillance Audit 4   |                    |                 |                    |

## Table of Contents

|   |    |
|---|----|
| SECTION 1.0 PUBLIC SUMMARY REPORT .....       | 3  |
| 1. Details of Certification Assessment.....   | 3  |
| 2.0 Details of Estate(s): .....               | 3  |
| 3.0 Assessment Process .....                  | 6  |
| 4.0 Audit Agenda.....                         | 7  |
| 5.0 Summary of Audit Results.....             | 13 |
| 6.0 Audit Findings .....                      | 13 |
| SECTION 2.0 AUDIT REPORT (PALM OIL MILL)..... | 15 |
| 1.0 Introduction .....                        | 15 |
| 2.0 Audit Evaluation Process.....             | 15 |
| 3.0 Stakeholders Consultation .....           | 53 |
| 4.0 Audit Information .....                   | 55 |
| 5.0 Overview Non-Conformity.....              | 60 |
| 6.0 Overview of OFI And Concerns.....         | 60 |
| 7.0 Appendixes .....                          | 61 |
| 8.0 Attachment .....                          | 64 |

## SECTION 1.0 PUBLIC SUMMARY REPORT

### 1. Details of Certification Assessment

|                                   |   |
|-----------------------------------|---|
| <b>Audit Date</b>                 | 10/9/2024 To 12/9/2024  |
| <b>Scope of Certification</b>     | Individual Certification  |
| <b>Audit Type / Type of Audit</b> | Surveillance Audit 4  |
| <b>Method of Audit</b>            | Onsite Audit  |
| <b>MSPO Standards</b>             | Malaysian Sustainable Palm Oil (MSPO) in accordance to the MS 2530-4:2013 (Part 4): General principles for palm oil mills |

### 2.0 Details of Estate(s):

#### 2.1 Information

|                                  |  |
|----------------------------------|--|
| <b>Management Contact Person</b> | Hii Hiong Ing  |
| <b>Contact Number</b>            | 013-811 5882   |
| <b>E-mail Address</b>            | swpom1@gmail.com   |
| <b>MPOB License</b>              |  |
| <b>a. License Number</b>         | 500364704000   |
| <b>b. Company Registered</b>     | Southwind Palm Oil Mill  |
| <b>c. Site Address</b>           | Lot 2, Block 25, Suai Land, District KM 77, Jalan Bintulu – Miri, 98000 Miri, Sarawak  |
| <b>d. Scope of Activity</b>      | <ol style="list-style-type: none"> <li>1. Menjual dan Mengalih FFB, PK, CPO, SPO</li> <li>2. Membeli dan Mengalih FFB, PK, CPO</li> <li>3. Menyimpan PK, CPO, SPO</li> <li>4. Mengilang FFB</li> </ol> |
| <b>e. Expiry Date</b>            | 31/07/2025   |
| <b>f. BR Number</b>              | 50451110   |

|   |   |
|---|---|
|   |   |
| <b>Processing Capacity (MT/hr)</b>            | 55mt per hour   |
| <b>Estimated certified palm oil (CSPO)</b>    | 39,955.50 mt  |
| <b>Estimated certified palm kernel (CSPK)</b> | 9199.98mt   |
| <b>GPS Coordinate (DMS)</b>                   | 3°38'12.038" N 113°30'08.975" E   |
| <b>MSPO Certification Validity</b>            | <input checked="" type="checkbox"/> Yes: MS2530-4:2013<br><input type="checkbox"/> No<br><br>Date of Certification: 11/10/20<br>Valid Until: 10/10/25   |
| <b>Other sustainability certifications</b>    | <input checked="" type="checkbox"/> Yes<br><input type="checkbox"/> No<br><br>Name of Certification: MSPO SCCS<br>Date of Certification: 11/10/20<br>Valid Until: 10/10/25  |
| <b>Other sustainability certifications</b>    | <input checked="" type="checkbox"/> Yes<br><input type="checkbox"/> No<br><br>Name of Certification: Italian National Sustainability Certification Scheme for Biofuels and Bioliquids<br>Date of Certification: 12/7/24<br>Valid Until: 5/12/28 |

## 2.2 Location Map

Map showing geographical location, with close-up of the certified entity (mill) with geographical coordinate



### 3.0 Assessment Process

|  |   |
|--|---|
| <b>Total Man-day</b>   | 3.0   |
| <b>Lead Auditor</b>  | Name: Mohd Hidhir Hamdan<br>Qualification: Tertiary<br>Working Experience: Minimum 10 years   |
| <b>Proposed Date of Next Audit</b>   | 13/9/2025   |
| <b>Type of Next Assessment</b>   | Recertification Audit   |
| <b>Information on certified entities to be audited in the five (5) years cycle</b> | Southwind Plantation Sdn Bhd (Palm Oil Mill) is a 55mt per hour processing capacity located at the Miri – Bintulu road, receiving FFB mainly from the own supplying plantations, with the additional suppliers from the outsiders.<br><br>Mill is a certified MSPO SCCS, ISCC, INS, ISO 9001 and ISO 14001 certification. |

*Note: Auditors listed are meeting with competency criteria according to clause 3 (Table 1) of Competency Requirement for Certification Body of Operating Oil Palm Management Certification Under the Malaysian Sustainable Palm Oil (MSPO) Certification Scheme (ACB – OPMC 1, Issue 1, 01 August 2017) and clause 7 of ISO/IEC 17021 Requirements for The Accreditation of Certification Bodies.*

## 4.0 Audit Agenda

| <b>Audit plan</b>      |  |
|------------------------|--|
|                        | Version: 29/08/2024 Ref. no : 50451110   |
| Company name           | Southwind Plantation Sdn. Bhd.   |
| Site(s)                | KM 77, Jalan Bintulu-Miri,<br>Lot 2, Block 25, Suai Land District, 98000 Miri, Sarawak   |
| MSPO Standard(s)       | MS 2530-4:2013 General principles for palm oil mills   |
| Audit man-days         | 3  |
| Type of audit          | Surveillance Audit 4   |
| Audit Method           | Onsite Audit   |
| Audit team             | Lead Auditor: Mohd Hidhir Hamdan (HID)   |
| Scope of Certification | Individual Certification   |
| Audit language         | English, Malay Language  |
| Audit date             | 10/9/2024 to 12/9/2024   |
| Audit criteria         | <ul style="list-style-type: none"> <li>- Requirements of the mentioned standard (s) above</li> <li>- Relevant statutory, regulatory and contractual requirements for the management system developed by the client</li> <li>- DQS audit and certification regulations</li> </ul> |

### Surveillance audit

1. Changes to the certified client and its management system
2. Verification continuous management system implementation
3. Review of effectiveness of measures arising from the previous audit (if applicable)
4. Confirmation of fulfillment of certification requirements
5. Enquiries on aspects of certification (Complaints)
6. Review of any client's statements with respect to its certified operations (e.g. promotional material, website, use of DQS logos and marks, use of the certificate)
7. Customer specific requirements
8. Others:

| Time                            | Subject; process   | Reference                     | Unit; department | Participants                               | Auditor(s) |
|---------------------------------|--|-------------------------------|------------------|--|------------|
| <b>Date: Day 1 – 10/09/2024</b> |  |                               |                  |  |            |
| 08:00                           | Opening Meeting  | -                             | -                | Top management / Management Representative | HID        |
| 08:30                           | Verification of previous findings  |                               | mill             | Management Representative                  | HID        |
| 09:30                           | <b>Criterion 4.1.1</b> – MSPO Policy<br><b>Criterion 4.1.2</b> – Internal audit<br><b>Criterion 4.1.3</b> - Management Review<br><b>Criterion 4.1.4</b> - Continual Improvement  | MSPO<br>2530:4<br>Principle 1 | mill             | Management Representative                  | HID        |
| 10:30                           | <b>Site Visit</b>  |                               | mill             |  |            |
| 12:30                           | <b>Break</b>   |                               |                  |  |            |
| 14:00                           | <b>Criterion 4.2.1</b> – Transparency of information and documents relevant to MSPO requirements<br><b>Criterion 4.2.2</b> – Transparent method of communication and consultation<br><b>Criterion 4.2.3</b> – Traceability | MSPO<br>2530:4<br>Principle 2 | mill             | Management Representative                  | HID        |
| 16:00                           | <b>Criterion 4.3.1</b> – Regulatory requirements<br><b>Criterion 4.3.2</b> – Land use rights   | MSPO<br>2530:4<br>Principle 3 | mill             | Management Representative                  | HID        |

|       |   |  |  |  |  |
|-------|---|--|--|--|--|
|       | <b>Criterion 4.3.3 –</b><br>Customary land rights |  |  |  |  |
| 17:00 | End of Audit Day 1                                |  |  |  |  |

| Time                            | Subject; process  | Reference                              | Unit; department | Participants              | Auditor(s) |
|---------------------------------|---|--|------------------|---------------------------|------------|
| <b>Date: Day 2 – 11/09/2024</b> |   |  |                  |                           |            |
| 08:00                           | <p><b>Criterion 4.4.1:</b> Social Impact Assessment (SIA)</p> <p><b>Criterion 4.4.2:</b> Complaints and grievances</p> <p><b>Criterion 4.4.3:</b> Commitment to contribute to local sustainable development</p> | <p>MSPO<br/>2530:4<br/>Principle 4</p> | mill             | Management Representative | HID        |
| 09:30                           | <p><b>Criterion 4.4.4:</b> Employees safety and health</p> <p><b>Criterion 4.4.5:</b> Employment conditions</p> <p><b>Criterion 4.4.6:</b> Training and competency</p>  | <p>MSPO<br/>2530:4<br/>Principle 4</p> | mill             | Management Representative | HID        |
| 11:00                           | <p><b>Criterion 4.5.1:</b> Environmental Management Plan</p> <p><b>Criterion 4.5.2:</b> Efficiency of energy use and use of renewable energy</p>  | <p>MSPO<br/>2530:4<br/>Principle 5</p> | mill             | Management Representative | HID        |
| 12:30                           | <b>Break</b>  |  |                  |                           |            |
| 14:00                           | <b>Criterion 4.5.3:</b> Waste management and disposal   | <p>MSPO<br/>2530:4</p>                 | mill             | Management Representative |            |

|       |   |             |  |  |     |
|-------|---|-------------|--|--|-----|
|       | <b>Criterion 4.5.4:</b> Reduction of pollution and emission including greenhouse gas<br><b>Criterion 4.5.5:</b> Natural water resources | Principle 5 |  |  | HID |
| 17:00 | End of Audit Day 2  |             |  |  |     |

| Time                      | Subject; process   | Reference                     | Unit; department | Participants              | Auditor(s) |
|---------------------------|--|-------------------------------|------------------|---------------------------|------------|
| <b>Day 3 : 12/09/2024</b> |  |                               |                  |                           |            |
| 08:30                     | <b>Criterion 4.6.1:</b> Mill Management<br><b>Criterion 4.6.2:</b> Economic and financial viability plan<br><b>Criterion 4.6.3:</b> Transparent and fair price dealing<br><b>Criterion 4.6.4:</b> Contractor | MSPO<br>2530:4<br>Principle 6 | mill             | Management Representative | HID        |
| 12:30                     | Break  |                               |                  |                           |            |
| 14:00                     | <b>Auditor's own time</b>  |                               | Mill             |                           | HID        |
| 16:00                     | <b>Audit closing</b>   |                               | mill             | Management Representative | HID        |
| 17:00                     | End of Audit   |                               |                  |                           |            |

Date of Audit Agenda Sent to the Client: **29/8/2024**

**Status**

- The Audit Agenda is maintained.
- The Audit Agenda is altered as follows:
- The Audit Objectives has met.
- The Audit Objectives could not be met.

## 5.0 Summary of Audit Results

### 5.1 Audit Summary and Recommendation for Certification

This report details the audit results including strengths, opportunities, and weaknesses. These results were presented to your management at the closing meeting of the audit. You can use these results to improve the effectiveness of your management system. We look forward to continuing our partnership towards sustainable business success.

In reference to MS 2530-4:2013, the audit team recommends to DQS:

- Issuance of the certificate
- Issuance of the certificate as soon as implementation of corrective actions has been demonstrated
- Maintenance of the certificate
- Maintenance of the certificate as soon as implementation of corrective actions has been demonstrated
- Not applicable, due to extraordinary type of report

Please remember to notify DQS about any significant change to your management system at your earliest convenience. Together we will then coordinate appropriate measures to maintain your current certification.

## 6.0 Audit Findings

| Type of Findings                              | Total Number of Findings | Responses from Entities  |
|---|--------------------------|--|
| NC Major                                      | Nil                      | <input type="checkbox"/> Not Applicable<br><input checked="" type="checkbox"/> Acknowledge |
| NC Minor                                      | 1                        | <input type="checkbox"/> Not Applicable<br><input checked="" type="checkbox"/> Acknowledge |
| OFI   | 1                        | <input type="checkbox"/> Not Applicable<br><input checked="" type="checkbox"/> Acknowledge |
| Issue raised during Stakeholder Consultation? | No                       | <input type="checkbox"/> Not Applicable<br><input checked="" type="checkbox"/> Acknowledge |

Official Sign-off of the assessment findings:

Lead Auditor Signature



Name: Mohd Hidhir Hamdan

Date: **18/9/2024**